

Foundations of Chemical Kinetics Lecture 15:  
The kinetic Monte Carlo method  
The single-molecule simulation method

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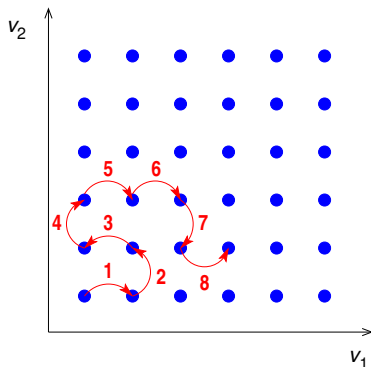
## Markov chains

Moving up and down a set of vibrational energy levels or reallocating energy between vibrational modes in IVR are examples of **Markov chains**.

- These are random processes, which may be driven by collisions or simply by the quantum mechanics of energy transfer between vibrational modes.
- They are memoryless, i.e. what is likely to happen next only depends on the current state of the system, and not on how this state was reached.
- They are Poisson processes, i.e. the waiting time until the next event is independent of how long we have been waiting so far.
- The state space is discrete, consisting of the countable (if possibly infinite) number of quantum states of a molecule.

# Simulating Markov chains: a picture

In the Landau-Teller approximation



Both the sequence of jumps and the times at which the jumps occur are random variables.

## Simulating Markov chains: statistical properties

Suppose that a molecule is in state  $s$  at time  $t$ . Then

- The next jump is chosen randomly, with probabilities directly proportional to the transition rates  $w_{sj}$ .
  - For a Landau-Teller process, only jumps to states corresponding to a change of one unit in one of the vibrational quantum numbers need to be considered.
  - For IVR, only jumps to states with the same total energy need to be considered.
- The time between jumps is exponentially distributed, with a characteristic decay time  $\tau = \left(\sum_j w_{sj}\right)^{-1}$ , where the sum is over states that can be reached in one jump from  $s$ .

# The Kinetic Monte Carlo (KMC) Algorithm

Simulating single molecules

At each step:

- 1 Calculate  $w_{\text{tot}} = \sum_j w_{sj}$  for the current state  $s$  and for all  $j$  reachable in one step from  $s$ .
- 2 Generate two random numbers  $r_{1,2} \in (0, 1)$ .
- 3 Use  $r_1$  to generate the time to next jump:

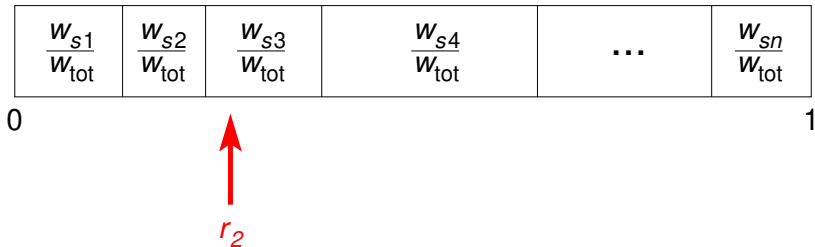
$$\Delta t = -\ln r_1 / w_{\text{tot}}$$

- 4 Use  $r_2$  to pick the destination of the jump as follows: Find the smallest  $k$  such that

$$\sum_{j=1}^k w_{sj} > r_2 w_{\text{tot}}$$

- 5 Add  $\Delta t$  to the simulation time.
- 6 Change the state of the molecule according to the jump chosen.

## The logic of the jump selection method



## Practical issues

- A complete program includes some setup (storing the  $w_{ij}$  values, setting  $t = 0$ , choosing an initial state for the molecule, etc.).
- Typically, we would either store the result of every jump and the corresponding time, or we would store the state of the molecule at fixed time intervals.
- We can only get so much information from a single simulation of one molecule, so we would usually repeat the simulation many times and compute some averages from the pool of simulation results.

## A (relatively) simple example of IVR with reaction

- Suppose that a molecule has 2 vibrational modes with  $\omega_0^{(1)} = 2\omega_0^{(2)}$ .
- Suppose that the reaction requires at least  $v_2 = 10$ .  
In other words, if we reach  $v_2 = 10$ , the molecule is assumed to react and the simulation stops.
- We want to calculate  $k_{2K}$  from the RRK mechanism. This is

$$k_{2K} = 1/\bar{t}_{\text{react}}$$

- RRK theory uses a microcanonical treatment for  $k_{2K}$ , i.e. it calculates  $k_{2K}$  as a function of the energy of  $A^*$ , the energized molecule.



## A (relatively) simple example of IVR with reaction (continued)

- Define  $n_2 = 2v_1 + v_2$ , the amount of energy stored in the two vibrational modes expressed in units of  $\hbar\omega_0^{(2)}$ .
- All of the states with equal energy are equal in probability, so we can skip the jump selection part of the KMC algorithm, and just generate a random next state.
  - Generate a new random  $v_1$  between 0 and  $\lfloor n_2/2 \rfloor$ . ( $\lfloor \cdot \rfloor$  is the 'floor' operation, i.e. rounding down.)
  - Calculate  $v_2 = n_2 - 2v_1$

## A (relatively) simple example of IVR with reaction (continued)

- Because all of the jumps are to states of equal energy,  $w_{ij} = w_{ji}$ .
- For simplicity, assume that all of the  $w_{ij}$  are equal to  $w$ .
- The total number of possible states is  $\lfloor n_2/2 \rfloor + 1$  ( $0, 1, 2, \dots, \lfloor n_2/2 \rfloor$ ).
- From any given  $v_1$ , there are therefore  $\lfloor n_2/2 \rfloor$  possible jump destinations.
- Therefore,  $w_{\text{tot}} = w \lfloor n_2/2 \rfloor$ .

## Our simulation code to-do list

- For several values of  $n_2$ :
  - 1 Obtain many realizations of single-molecule IVR:
    - 1 Simulate jumps until we have  $v_2 \geq 10$ .
    - 2 Keep track of the simulated time it takes for this to happen
  - 2 Average the IVR time and calculate  $k_{2K}(n_2)$ .

## A side note about IVR

- The master equation treatment of IVR is a classical cartoon.
- A better picture is that, at any given time, the molecule exists in a superposition of states of approximately equal energy (subject to the time-energy uncertainty principle).
- The superposition evolves with time towards a uniform distribution over all states within the energy set.
- The probability of reaction per unit time is proportional to the weight of states that carry sufficient energy in the reaction coordinate among all the states in the superposition.

## Building a computer program

- The smart thing is to build the program in steps, starting from the inside and working outwards.
- Put lots of comments in your code (lines starting with %) to remind you how it works.  
It won't be obvious tomorrow!
- In this case:
  - 1 Write code for the Boltzmann sampling.
  - 2 Write a single simulation step.
  - 3 Wrap the step in a loop to repeat until reaction occurs.
  - 4 Wrap the initial Boltzmann sampling and 'stepper' in a loop to collect statistics over many simulations.

## Matlab commands and functions we will need

Variables: Names of variables always start with a letter and can include underscores and digits.

Names are case-sensitive.

Matrices can be stored in a variable

$M(i, j)$  addresses the  $(i, j)$  element of the matrix  $M$ .

An  $n \times 1$  or  $1 \times n$  matrix is a vector. Elements of a vector can be addressed with a single argument, e.g.  $v(i)$ .

`rand(m,n)` returns a matrix of random numbers in the interval  $(0, 1)$  of dimensions  $m \times n$

`randi([a,b])` returns a random integer in the interval  $[a, b]$

`ceil(x)`, `floor(x)` return the ceiling and floor of  $x$ , respectively

`log(x)` returns the natural logarithm of  $x$

`mean(v)` returns the average of the values in the vector  $v$

## Matlab commands and functions we will need

(continued)

`a:b` is a range, specifically the list of numbers  
 $a, a + 1, \dots, b$ .

`while` loops repeat until the condition following the keyword  
`while` is met.

Loops are terminated by the keyword `end`.

`for` loops repeat over a range of values, e.g. `for i=1:20`  
would start with  $i = 1$ , iterate through all of the  
values of  $i$  including 20, then stop.

## Simulation parameters

- $\hbar\omega_0^{(2)}/k_B T = 0.1$
- $w_{ij} = 10^{15} \text{ s}^{-1}$  for all  $i, j$