

# The Margulis Normal Subgroups Theorem

## Lecture 2: Statement and Most of the Proof

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### Introduction

To minimize the amount of Lie theory needed, we will prove only a special case of the theorem:

$SL(3, \mathbf{Z})$  is “simple modulo finite groups.”

*Recall.*  $\Gamma$  simple:

$N \triangleleft \Gamma \Rightarrow N$  trivial or  $N = \Gamma$  (i.e.,  $\Gamma/N$  trivial)

**Theorem.** Let  $\Gamma = SL(3, \mathbf{Z})$ .

$N \triangleleft \Gamma \Rightarrow N$  is finite or  $\Gamma/N$  is finite.

*Remark.*

- **False** for  $\Gamma = SL(2, \mathbf{Z}) \approx$  free group  
(free groups have *many* normal subgroups)
- **False** for Gromov hyperbolic groups;  
e.g., lattices in:
  - $SO(1, n)$  real ( $\mathbf{R}$ ) hyperbolic
  - $SU(1, n)$  complex ( $\mathbf{C}$ ) hyperbolic
  - $Sp(1, n)$  quaternion ( $\mathbf{H}$ ) hyperbolic
  - $F_{4,1}$  octonion ( $\mathbf{O}$ ) hyperbolic
- The proof of Margulis works for lattices in any other noncompact simple Lie group (such as  $SU(2, n)$ , with  $n \geq 2$ ).
- Many cases (including  $SL(3, \mathbf{Z})$ ) were known before the work of Margulis (1978).

### The proof has 3 steps:

1. Assume  $N$  is infinite. Then  $\Gamma/N$  is amenable.
2.  $\Gamma$  has Kazhdan’s property  $(T)$ , so  $\Gamma/N$  has  $(T)$ .  
(We will assume this without proof.)
3. (easy) amenable +  $T \Rightarrow$  finite (for discrete groups)

### Proof of Step 1 ( $\Gamma/N$ is amenable).

Suppose  $\Gamma/N$  acts on compact, metrizable  $X$ .

Want:  $\exists$  invariant probability measure on  $X$ .

**Key Lemma.**  $\exists$  (essentially)  $\Gamma$ -equivariant measurable  $\psi: G/P \rightarrow \text{Prob}(X)$ .

**Black Box.**  $\psi: G/P \rightarrow Z$  ( $\Gamma$ -equivariant)  
 $\implies$  action of  $\Gamma$  on  $Z$  extends to action of  $G$  (a.e.)  
s.t.  $\psi$  is (essentially)  $G$ -equivariant.

$N$  acts trivially on  $X$ , so it acts trivially on  $\text{Prob}(X)$ .

Thus, the kernel of the  $G$ -action is infinite.

$G$  is simple (mod finite center),

so this implies the kernel is all of  $G$ .

I.e., the action of  $G$  on  $\text{Prob}(X)$  is trivial.

So the action of  $\Gamma$  on  $\text{Prob}(X)$  is (essentially) trivial.

Therefore  $X$  has an invariant measure.

### Proof of Step 3 (amenable + $T \Rightarrow$ finite).

*Definition.*  $G$  has Kazhdan’s property  $(T)$ :

$\forall \rho: G \rightarrow \mathcal{U}(\mathcal{H}),$

$\exists$  almost-invariant vectors  $\Rightarrow \exists$  invariant vectors.

**Theorem (Kazhdan, 1967).**

$SL(3, \mathbf{R})$  and  $SL(3, \mathbf{Z})$  have  $(T)$ .

**Proposition.**  $G$  has  $(T)$ ,  $N \triangleleft G \Rightarrow G/N$  has  $(T)$ .

**Proposition.**

$G$  amenable and has  $(T) \Rightarrow G$  is compact.

*Proof.*  $G$  amenable  $\Rightarrow \mathcal{L}^2(G)$  has almost-invariant vectors

$\Rightarrow \mathcal{L}^2(G)$  has an invariant vector

$\Rightarrow 1_G \in \mathcal{L}^2(G)$

$\Rightarrow \mu(G) < \infty$

$\Rightarrow G$  is compact. □

## References

D. A. Kazhdan:

Connection of the dual space of a group with the structure of its closed subgroups,

*Func. Anal. Appl.* 1 (1967) 63–65.

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(The original paper on Kazhdan's Property  $(T)$ )

G. A. Margulis:

*Discrete Subgroups of Semisimple Lie Groups.*

Springer, Berlin Heidelberg New York, 1991.

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(Section 4.4 proves the Normal Subgroups Theorem; see Chapter 3 for Kazhdan's Property  $(T)$ )

G. A. Margulis:

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*Func. Anal. Appl.* 12 (1978), no. 4, 295–305 (1979)

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(The original publication of this proof.)

Robert J. Zimmer:

*Ergodic Theory and Semisimple Groups.*

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(Chapter 8 proves the Normal Subgroups Theorem; Section 7.4 proves  $(T)$  For  $SL(3, \mathbf{R})$  and  $SL(3, \mathbf{Z})$ )