

Canadian Journal of Remote Sensing
Journal canadien de télédétection

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Communities**

Journal:	<i>Canadian Journal of Remote Sensing</i>
Manuscript ID:	CJRS-09-0153.R2
Manuscript Type:	Review Paper
Date Submitted by the Author:	21-Mar-2010
Complete List of Authors:	Gamon, John
Keyword:	hyperspectral, multispectral, vegetation, spectral library, carbon flux, functional type, optical type, FLUXNET



SpecNet Revisited: Bridging Flux and Remote Sensing Communities

(Review Paper)

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3 Abstract:
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8 SpecNet (Spectral Network) began as a Working Group in 2003 with the goals of integrating
9 remote sensing with biosphere-atmosphere carbon flux measurements and standardizing field
10 optical sampling methods. SpecNet has evolved into an international network of collaborating
11 sites and investigators, with a particular focus on matching optical sampling tools to the
12 temporal and spatial scale of flux measurements and ecological sampling. Current emphasis
13 within the SpecNet community is on greater automation of field optical sampling using simple
14 cost-effective technologies, improving the light-use efficiency model of carbon dioxide flux,
15 consideration of view and illumination angle to improve physiological retrievals, and
16 incorporation of informatics and cyberinfrastructure solutions that address the increasing data
17 dimensionality of cross-site and multi-scale sampling. In this review, we summarize recent
18 findings and current directions within the SpecNet community and provide recommendations
19 for the larger remote sensing and flux communities. These recommendations include
20 comparing the LUE model to other flux models driven by remote sensing, considering a wider
21 array of biogenic trace gases in addition to carbon dioxide, adoption of standardized and
22 automated field sensors and sampling protocols where possible, continued development of
23 cyberinfrastructure tools to facilitate data comparison and integration, expanding the network
24 itself so that a greater range of sites are covered by combined optical and flux measurements,
25 and encouraging a broader communication between the flux and remote sensing communities.
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3 Introduction:
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8 Biospheric-atmosphere gas exchange, the fundamental “breathing” of the planet through
9 photosynthesis, respiration, and other biogeochemical processes, remains a critical science and
10 policy issue. Climate change and disturbance alter biosphere-atmosphere fluxes of carbon
11 dioxide, methane, water vapour, and several other greenhouse gases, and the exchange of
12 these gases further affect atmospheric composition and climate through feedback effects (Field
13 et al. 2007, Piao et al. 2008). Biospheric carbon dioxide fluxes (either uptake or release) are
14 approximately ten times the anthropogenic emissions (Schlesinger 1997), and about half of
15 these fluxes occur over terrestrial regions (Field et al. 1998).
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31 These terrestrial carbon dioxide fluxes are extremely dynamic in time and space, and their
32 controls are only partly understood. In many regions of the world, flux patterns appear to be
33 shifting in response to climate change and large-scale human disturbance. For example,
34 abundant evidence suggests that surface temperature and moisture levels are changing in
35 northern latitude terrestrial ecosystems (Arctic Climate Impact Assessment 2005, Smith et al.
36 2005), and surface cover is changing (Sturm et al. 2001) with large implications for surface-
37 atmosphere fluxes (Piao et al. 2008, McGuire et al. 2009). Much of western North America has
38 recently experienced a prolonged drought and associated widespread vegetation mortality
39 (Breshears 2005), which alters surface-atmosphere gas exchange and reduces ecosystem
40 carbon sequestration and turns ecosystems into carbon source (Fuentes et al. 2006, Sims et al
41 2006, Luo et al. 2007). In tropical regions, deforestation and land-use change alters carbon
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3 stocks and fluxes (DeFries et al. 2002, Asner et al. 2005) and impacts the regional and global
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5 climate (Shukla et al. 1990). Given the need to minimize greenhouse gas emissions, and the
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7 desire to develop effective carbon sequestration policies, understanding biosphere-atmosphere
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9 gas exchange through validated ground and Earth observation data provides a foundation for
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11 sound policy.
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18 The FLUXNET network of eddy covariance stations was created to help quantify biospheric
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20 carbon and water vapour fluxes and understand the controls on biospheric-atmospheric flux
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22 (Baldocchi et al. 2001). However, flux towers are typically limited to flat terrain and uniform
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24 vegetation (Baldocchi 2003, 2008), and many regions of the planet remain unsampled (Running
25
26 et al. 1999), emphasizing the need for synoptic, remote sensing solutions to broaden the
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28 coverage. Furthermore, ongoing funding and maintenance of field networks remains
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30 challenging. In Canada, the Fluxnet-Canada Research Network (FCRN) and the follow-on
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32 program, Canadian Carbon Programme (CCP) were established to study the effects of climate
33
34 and disturbance on carbon cycling in northern forest and peatland ecosystems. Unfortunately,
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36 the funding for CCP will end in 2010, and Canada is now facing the loss of a primary tool for
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38 studying the controls on biosphere-atmosphere exchanges in northern ecosystems. This
39
40 termination is occurring at a time when such fundamental knowledge is critical for
41
42 understanding the response of these ecosystems to climate change and for helping to develop
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44 greenhouse gas management options. This loss makes remote sensing solutions to carbon
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46 monitoring all the more critical. Remote sensing can help fill this gap, but only if we can
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48 develop effective “links” (calibrations) between remote sensing and fluxes. To accomplish this,
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3 the existing flux tower network must be linked to remote sensing in a modeling context to
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5 develop regional and global understanding of changing carbon and water vapour fluxes
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8 (Running et al. 1999). A key SpecNet goal has been to address this need with models driven
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10 exclusively from remote sensing, an approach which compares favourably with models driven
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12 from multiple data sources (Sims 2006b).
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18 Originating in 2003, SpecNet was founded with the goal of understanding the linkages between
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20 remote sensing and surface-atmosphere fluxes (Gamon et al. 2006a). Originally formed as a
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22 “Working Group” at the National Center for Ecological Analysis and Synthesis (NCEAS, Santa
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24 Barbara, USA), SpecNet has evolved into a loose collaboration involving multiple investigators
25
26 around the world combining remote sensing with flux and other field measurements. Typically
27
28 “remote sensing” at SpecNet sites involves field optical sampling that matches the spatial and
29
30 temporal scale of flux measurements, although aircraft and satellite remote sensing is also
31
32 employed. Starting with a handful of field sites, mostly in the United States, SpecNet has since
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34 expanded to over 40 sites (Fig. 1), with the greatest recent growth in northern boreal and arctic
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36 ecosystems, where optical remote sensing is often problematic due to low sun angle and
37
38 frequent cloud cover, and where climate change is occurring most rapidly (Arctic Climate
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40 Impact Assessment, 2005). The particular strength of optical sampling is that it provides a
41
42 proxy measure of vegetation structural, physiological and phenological properties, all of which
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44 provide potent indicators of ecosystem composition, function, and biosphere-atmosphere gas
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46 exchange (Ustin et al. 2004, Ustin and Gamon, 2010). Ground-based optical sampling is
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3 particularly important in validating these relationships and adds to the value of field sampling
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5 networks.
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16 A primary SpecNet goal has been to compare ecosystem optical properties (primarily
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18 reflectance spectra and their derivative products) to carbon and water vapour fluxes. Most
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20 efforts to model photosynthetic carbon uptake from remote sensing derive from the
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22 observation by Monteith (1972, 1977) that gross photosynthesis (GP, or Gross Ecosystem
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24 Production, GEP, when integrated over time) is a function of absorbed photosynthetically active
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26 radiation (APAR) and the efficiency (ϵ) with which APAR is converted to fixed carbon:
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$$30 \quad GP = APAR \times \epsilon \quad 31 \quad \text{(eq. 1)} \quad 32$$

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38 APAR is typically determined as the product of PAR irradiance (PAR) and the fraction of PAR
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40 absorbed (F_{APAR}) by green vegetation.
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46 A fundamental SpecNet objective has included validating the LUE model across ecosystems.
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48 This entails testing the basic components of the light-use efficiency model with the goal of
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50 developing a scaleable approach for evaluating surface-atmosphere carbon fluxes, and for
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52 linking field sampling to aircraft and satellite measurements.
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3 The advantage of the model outlined in equations 1 and 2 is that spectral reflectance offers a
4 direct way of assessing green F_{APAR} through the use of “vegetation indices” derived from
5
6 spectral reflectance. Foremost among these has been the “Normalized Difference Vegetation
7
8 Index” (NDVI) derived from reflectance (R) in the red and near-infrared (NIR) regions of the
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10 spectrum (Rouse et al. 1974):
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$$15 \quad NDVI = (R_{NIR} - R_{Red}) / (R_{NIR} + R_{Red}) \quad (eq.2)$$

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23 In addition to exploring the APAR term, members of the SpecNet community have been
24 exploring ways of assessing the efficiency term in the light-use efficiency model (eq. 1). There
25 are several ways to approach this problem. Historically, many studies have assumed this to
26
27 either be a constant for all vegetation (Heimann & Keeling 1989) or to be a biome-dependent
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29 constant (Ruimy et al. 1994). Clearly, LUE can vary with vegetation physiognomic or functional
30
31 type (e.g. Gamon et al. 1997). However, more recent studies reveal that this term can actually
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33 be quite dynamic in time and space (Turner et al. 2003), even within a single ecosystem (Sims et
34
35 al. 2006b). Environmental stresses (e.g. drought or temperature extreme) often cause carbon
36
37 uptake to be reduced relative to the maximal value, resulting in variable light-use efficiency.
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39 From a physiological perspective, this reduced LUE is termed “downregulation” and can be
40
41 characterized by reduced peak photosynthetic rates, reduced efficiency of the carboxylating
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43 enzyme Rubisco, and partial or complete stomatal closure (Gamon et al. 2001). Alternatively,
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45 during recovery from disturbance, an ecosystem’s LUE can be enhanced, in part through
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47 successional changes involving altered functional types and physiological performance. For
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3 example, in many ecosystems following wildfire, evergreen species are temporarily replaced by
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6 annuals or broad-leaved deciduous species as the dominant cover type, and foliar nitrogen
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9 levels can be enhanced (Rundel and Parsons 1980, Reich et al. 1990). Annual and deciduous
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11 types typically exhibit higher LUE than evergreens, and increased foliar nitrogen can also
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14 enhance LUE (Gamon et al. 1997). Consequently, an additional SpecNet goal has been to link
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17 optical sampling to gas exchange to explore the contributions of individual cover types or plant
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20 functional types to overall ecosystem carbon fluxes.

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23 Because optical measurements can provide parameters for flux models, they provide a useful
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26 way to explore controls of biosphere-atmosphere gas exchange in a combined empirical and
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29 modeling framework. Since optical sampling is inherently non-intrusive and can be applied at
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32 different spatial, temporal and spectral scales (Gamon et al. 2006a), it can provide a useful field
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35 monitoring tool that can be easily compared to aircraft and satellite observations (Cheng et al.
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38 2006, Fuentes et al. 2006, Strachan et al 2008, Hilker et al. 2009). Combining field optical
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41 sampling with flux measurements, and then using remote sensing to extend to larger regions
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44 (Rahman et al. 2001, Fuentes et al. 2006, Hilker et al. 2009), provides a robust “bottom-up”
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47 approach to developing a regional or global perspective of changing biosphere-atmosphere
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50 fluxes. This approach can then be compared against “top down” remote sensing approaches
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53 (Knyazikhin et al. 1998, Running et al. 2004, Sims et al. 2006b), yielding insights into contrasting
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56 controls on these fluxes for different ecosystems.
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3 Since 2003, members of the SpecNet community have met approximately once a year, with
4 recent meetings in Edmonton, Canada (2007), Monte Bondone, Italy (2008), and Lethbridge,
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6 Canada (2009). These meetings have spurred additional international collaborations
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8 incorporating SpecNet themes, including related efforts in the European Union (e.g. COST
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10 Action ESO903 – “Spectral Sampling Tools for Vegetation Biophysical Parameters and Flux
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12 Measurements in Europe”). SpecNet remains a “grassroots,” user-driven organization, and is
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14 evolving into a data sharing collaboration and “virtual community” of scientists who apply
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16 linked optical-flux measurements in representative terrestrial ecosystems around the world.
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18 Because SpecNet relies on voluntary contributions of participants, it lacks the authority to
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20 enforce standards. Instead, its strength lies in its ability to spur innovation and communicate
21
22 key findings through direct exchange, publication and web-based tools. While the primary
23
24 focus continues to be linking optical sampling and fluxes, the SpecNet community has identified
25
26 a number of related issues needing further attention. The purpose of this review is to
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28 summarize main outcomes of these meetings and highlight recent findings and current
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30 directions within the expanding SpecNet community. A summary of key SpecNet goals and
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32 accomplishments (Table 1) are briefly reviewed here with the hope that they will continue to
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34 advance the goals of improving the application of optical sampling to address surface-
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36 atmosphere fluxes.
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56 The LUE model as an integrating theme
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6 The LUE model has undergone many years of development, and has been the subject of several
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8 reviews (Gamon and Qiu 1999, Goetz and Prince 1999, Hilker et al. 2008d). One of the key
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10 remaining questions is whether a single model parameterization can work for all of the world's
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12 terrestrial ecosystems, or whether the model must be "tuned" (i.e. calibrated) for different
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14 ecosystems. One approach to this question is to explore the universality of the individual
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16 model terms, and recent examples from SpecNet sites are discussed in this context below.
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23 A significant challenge in parameterizing the APAR term from field optical measurements lies in
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25 the consideration of the photosynthetic and non-photosynthetic components of vegetation
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27 canopies. Strictly speaking, F_{APAR} of green vegetation (sometimes called "green F_{APAR} ") differs
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29 from F_{APAR} as it is measured in the field because direct measurements often include *all* canopy
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31 materials (e.g. photosynthetic leaves and non-photosynthetic stems). Unless corrected to
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33 green F_{APAR} , this total F_{APAR} often provides a poor measure of absorbed light actually used in
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35 photosynthesis, and this issue explains some of the confusion in the literature regarding the
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37 "true" relationship between F_{APAR} and photosynthesis (Hall et al. 1992, Gamon et al. 1995, Chen
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39 1996, Dawson et al. 2003), and presumably causes disagreement across sites when different
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41 methods are used. Usually such corrections require tedious harvesting, sorting, and
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43 measurements of the separate "green" and "non-green" canopy fractions (Gamon et al. 1995),
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45 but optical sampling methods now offer the possibility of rapid field assessment of this ratio
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54 (Serrano et al. 2000; Vescovo & Gianelle 2006, 2008; Gianelle & Vescovo 2007). Further testing
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3 of these optical methods across more ecosystems with contrasting stand structures is needed
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6 to confirm these results.
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11 Once correction for the green canopy fraction has been applied, it becomes possible to explore
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13 the “true” relationship between NDVI (or other greenness indices) and absorbed light.
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16 Measurements from a variety of SpecNet sites in the Western US indicate that NDVI provides a
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18 near-linear measure of green F_{APAR} for many vegetation types (Fig. 2). It is likely that the scatter
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20 in this relationship is due variations in canopy structure and sun angle (Chen 1996, Sims 2006a),
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22 which have not been fully accounted for here, and which remain ongoing topics of research
23
24 (Table 1). This observation of a significant NDVI- F_{APAR} relationship is in agreement with theory
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26 (Sellers 1987, Field 1991, Hall et al. 1992, Myneni and Williams 1994) and empirical
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28 observations (Bartlett et al. 1990, Demedriades-Shah et al. 1992, Hall et al. 1992) that suggest
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30 NDVI should be strongly related to F_{APAR} , but not all reports in the literature have found this
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32 relationship to be linear (Choudury 1987, Goward and Huemmrich 1992, Gamon et al. 1995,
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34 Chen et al. 1996). It is likely that methodological differences, including the issue of green
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36 fraction (mentioned above), spectral bands used, canopy structure, sun angle, and the scale of
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38 the measurement (individual canopy vs. whole stands or landscapes) contribute to these
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40 differences, all of which are topics needing further exploration.
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3 Many variations on NDVI, including the Soil-Adjusted Vegetation Index (SAVI, Huete 1988) and
4 the Enhanced Vegetation Index (EVI, Huete et al. 2002) have been developed, and may offer
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6 improvements over the NDVI, particularly for global applications (Rahman et al. 2005, Sims et
7
8 al. 2006b). However, these indices have not been fully tested against NDVI in the context of the
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10 LUE model, and at this time NDVI remains the most widely used vegetation index for estimating
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12 photosynthetic carbon uptake. Similarly, alternative approaches based on remote sensing of
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14 shortwave albedo or canopy nitrogen offer encouraging alternatives to assessing biospheric
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16 carbon uptake that may simplify the challenge of global modeling (Ollinger et al. 2008).
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19 Comparing different vegetation indices and approaches for modeling carbon fluxes from
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21 remote sensing remains a central SpecNet goal.
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31 Many SpecNet investigators have also been exploring ways of assessing the “light-use
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33 efficiency” term in the LUE model. One way of visualizing light-use efficiency is to plot GEP (or
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35 GP) as a function of APAR. The slope of this relationship represents the efficiency term. When
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37 this plot is made for representative vegetation types, even within a single biome, this slope can
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39 vary in characteristic ways (Fig. 3), demonstrating that, even for a single biome, the concept of
40
41 a single LUE value is clearly in error. This variable LUE for different functional types within a
42
43 single biome (coastal tundra near Barrow, Alaska) illustrates the weakness of a biome-based
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45 efficiency scheme. In this example, low-lying “wet” vegetation (dominated by sedges) exhibits
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47 a higher LUE than slightly elevated “dry” sites (dominated by evergreen species). Since these
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49 sites often differ in elevation by a meter or less, and since they often are adjacent in a tundra
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51 landscape, distinguishing these vegetation types represent a considerable challenge to satellites
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3 having large pixels (Huemmich et al. 2010). Similarly, moss (a bryophyte lacking developed
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5 vascular tissue) has a lower LUE than the sedge (a vascular plant). Since moss and vascular
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7 plants often co-occur in a tundra landscape, this mixture introduces errors into whole-
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9 ecosystem LUE models, providing an additional challenge to detecting LUE from conventional
10
11 satellite remote sensing (Huemmrich et al. 2010). Since a primary SpecNet goal is to explore
12
13 the spatial and temporal dynamics of LUE, consideration of functionally distinct vegetation
14
15 types (“functional types”) is a primary SpecNet focus. A primary hypothesis is that functional
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17 types can be distinguished based on their optical properties via “optical types” (Gamon et al.
18
19 2008, Ustin and Gamon 2010). This idea expands on the concept of “spectranomics” (Asner and
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21 Martin 2009) to include structural, biochemical, physiological, and phenological contributions
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23 to the optical signal detected by spectral reflectance. Determining the sufficient spectral,
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25 spatial, and temporal resolution to distinguish functional types is a related technical goal.
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36 [Insert Fig. 3 here]
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40 An alternative method of exploring efficiency is to use the information present in reflectance
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42 spectra to *directly* assess LUE. One way to do this is by mapping cover types and associating
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44 different LUE values for different cover types (Fig. 3), but this assumes that cover types are
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46 spectrally separable and LUE is a known constant for each cover type. Another way is to assess
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48 conditions of reduced LUE via dynamic signals present in the reflectance spectra. Alternative
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50 methods currently being explored include the Photochemical Reflectance Index (PRI), because
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3 it offers the most direct assessment of LUE, and various indices of water status and surface
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6 temperature.
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10 Originally based on the observation that the xanthophyll cycle can be detected in intact leaves
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12 and plant stands with spectral reflectance (Gamon et al. 1990, 1992), and the fact that the
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14 xanthophyll cycle is closely tied to photosynthetic downregulation (Demmig-Adams and Adams
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16 1996), the PRI provides a direct estimate of photosynthetic light-use efficiency at the level of
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18 fundamental photochemistry. Many studies have now shown that the PRI can be measured on
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21 whole stands, and that this index often scales with whole-stand LUE or can be used as an
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24 indicator of relative photosynthetic rates, supporting the use of PRI as a remote sensing
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27 measure of LUE (Gamon et al. 1992, 2001, Rahman et al. 2001, Nichol et al. 2002, Strachan et
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30 al. 2008, Hilker et al. 2009). However, a closer analysis of the recent literature reveals that this
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33 relationship is often confounded by sun angle (Sims et al. 2006b), view angle and canopy
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36 structure (Drolet et al. 2005, Hall et al. 2008, Hilker et al. 2008b&c, Cheng et al. 2009, Goerner
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39 et al. 2009, Middleton et al. 2009), soil background (Barton and North 2001), and pigment pool
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42 sizes (e.g. chlorophyll and carotenoid levels, Gamon et al. 2001, Stylinski et al. 2002, Sims and
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45 Gamon 2002, Sims et al. 2006a), all of which influence PRI at the stand level. Several of these
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48 confounding factors are reduced when sampling uniform, closed canopies; in these situations
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51 the vegetation stand effectively behaves like a “big leaf” (Gamon and Qiu 1999). However,
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54 much of the world’s vegetation is not comprised of uniform, closed-canopy stands, and while
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57 considerable progress has been made in overcoming these complications for individual sites,
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60 we lack a universal solution to this problem. Consequently, while single PRI-efficiency

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3 relationships sometimes emerge for a given ecosystem exposed to a stable set of conditions,
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5 multiple relationships emerge when different ecosystems are compared (e.g. Nichol et al.
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7 2002). Even within a single ecosystem, multiple LUE relationships can emerge due to periodic
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9 stress and disturbance (Sims et al. 2006a), or due to varying contributions of different
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11 functional types (Huemmrich et al. 2010). It is likely that sun angle, view angle, three
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13 dimensional stand structure, instrument differences and sampling scale all contribute to these
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15 differences, and addressing the underlying causes remains an outstanding goal of SpecNet, in
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17 part through automation and cyberinfrastructure (further discussed below).
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26 Scaling challenges

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31 Applying the LUE model from satellite data and validating the results remain significant
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33 challenges, in part due to the mis-match in temporal and spatial scales between most satellite
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35 imagery and flux measurements (Fig. 4). Satellite pixel sizes have historically ranged from 30 m
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37 (Landsat) to 250 (MODIS) or 1000 m (AVHRR). Although newer satellites provide pixel sizes of
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39 less than 10 m, the imagery is often expensive or of limited availability, making multitemporal
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41 coverage quite difficult and costly. By contrast, eddy covariance methods of measuring carbon
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43 and water vapour fluxes typically sample a moving region on the scale of one to several
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45 hectares, and this sampling “footprint” moves continuously with windspeed and direction.
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47 From the perspective of most global satellite imagery, a flux tower represents a single, moving
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49 “point” sample on the ground, and it is difficult to find a close and consistent match between
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51 what a satellite measures and what a flux tower measures, particularly when multiple dates are
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3 included in the analysis. This scale mismatch provides a compelling reason for ground optical
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6 sampling at the scale of the flux tower footprint. Consequently, many SpecNet sites provide
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9 “scale appropriate” surface reflectance measurements of flux tower footprints, or of nearby
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11 regions having similar vegetation cover to the flux tower footprint. These methods, including
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13 automated sensors, mobile sensors, tilting sensors, and sensor networks (Table 1), allow the
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15 exploration of both temporal and spatial variability of optical signals, and provide a
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17
18 considerable data integration challenge. A technical goal remains the development of robust
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21 methods for relating fluxes to optical sampling, which often involves a formal analysis of the
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24 flux tower footprint along with some degree of data aggregation in the time domain.
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28 [Insert Fig. 4 here]
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33 Data aggregation in the time domain (i.e. “temporal scaling”) presents many open questions.
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35 LUE models derived from spectral reflectance have been applied at a range of temporal scales
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37 ranging from “instantaneous” (seconds), to daily, weekly, seasonal, or yearly aggregation
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39 periods (e.g. Field et al. 1998, Gamon et al. 2001, Running et al. 2004, Sims et al. 2006a,
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42 Strachan et al. 2008). While, in principle, the LUE model can be applied at all these temporal
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45 scales, there appear to be “optimal” periods of aggregation that best allow comparison of flux
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48 data to optical data or satellite images (Sims et al. 2006a, Strachan et al. 2008). For example
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51 most LUE models have difficulty characterizing diurnal photosynthetic patterns, in part because
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54 flux data are highly variable at sub-daily time steps, in part because varying sun angles and light
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57 penetration during the day make interpretation of single nadir views difficult, and in part
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3 because obtaining multiple overpasses during a day is not always possible. Consequently,
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5 some degree of data aggregation is often needed to reveal consistent relationships between
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7 flux and optical data, and automated optical sampling methods combined with improved
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9 cyberinfrastructure (see below) can facilitate the exploration of suitable data aggregation
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11 methods. For example, Sims et al. (2006a) reported that, for a chaparral ecosystem,
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13 aggregation periods of about five days appear to be optimal, and Strachan et al. (2008) found
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15 that summed vegetation index values worked well when applying the LUE model. A high
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17 correlation between midday fluxes during single, midday overpasses and summed fluxes
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19 aggregated over several days enable the daily time step to work well when driving flux models
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21 from remote sensing (Sims et al. 2005). However, these observations are primarily based on
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23 statistical correlations from a limited set of ecosystems, and it is not fully understood to what
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25 extent they are determined by technical limitations of the technology (e.g. diurnal “noise” in
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27 eddy covariance data) or to what extent they represent fundamental underlying biological
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29 principles. Furthermore, we do not yet know whether all ecosystems behave similarly in this
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31 respect or if different ecosystems respond with fundamentally different time constants. These
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33 issues have important implications for how we use remote sensing to model carbon flux, and
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35 remain central questions within the SpecNet community.
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48 In addition to temporal and spatial scale, questions of spectral scale are critical. Optical sensors
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50 differ in their spectral response, and there is no single “standard” set of bands across brands or
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52 generations of instruments. Consequently, we live in a veritable “alphabet soup” of indices,
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54 with even a single index (e.g. NDVI) having different value depending upon which sensor was
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3 used to make the measurement. While a number of studies have attempted to address the
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5 “best” spectral band for a given purpose, the lack of sensor standardization forces the user
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8 community to use sensors that may be sub-optimal for a given purpose and to compare
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10 measurements made with different instruments operating with different spectral bands.
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12 Consequently, a SpecNet goal remains the development of protocols and software tools for
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14 “translating” or “convolving” measurements between sensors. Such tools are essential if we
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16 are to explore the LUE model from different studies.
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20 21 22 23 24 25 26 Sensor automation

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31 To meet the optical sampling needs described above and to help assess the LUE model under
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33 dynamic conditions, several novel field sampling approaches have emerged within the SpecNet
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35 community involving sensor automation. Automation has been applied to correct for sky
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37 conditions, to explore angular effects (sun angle and look angle), and to monitor phenology.
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39 Most of these involve one of two levels of technology: simple two-band radiometers, and
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41 hyperspectral field spectrometers. Several examples are considered below.
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49 During the BOREAS field campaign (Sellers et al. 1995, Gamon et al. 2004), Huemmrich et al.
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51 (1999) made the observation that a kind of “greenness index” can be derived from simple
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53 combinations of PAR and pyranometer sensors measuring in the visible and near-infrared,
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55 respectively. Similarly, Richardson et al. (2007) developed a greenness index based on visible
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3 color bands from a web camera. Because these types of instruments are easily available,
4 relatively reliable and inexpensive, and can be readily integrated with a variety of dataloggers, it
5 is possible to derive a simple, automated “NDVI” surrogate from existing, off-the-shelf sensors
6 and loggers. Usually, these systems combine downward-looking sensors with upward-looking
7 sensors, allowing for continuous, real-time correction of sky conditions. Continuous sampling
8 from these types of instruments provides a powerful phenology indicator that is a useful metric
9 of seasonally changing greenness, and that correlates well with satellite observations and
10 seasonal fluxes (Huemmrich et al. 1999, Wilson and Meyers 2007, Richardson et al. 2009).
11 Recently, the use of simple, automated instruments has expanded, primarily as indicators of
12 green leaf area development, green biomass production, and APAR related to carbon flux.
13 Currently, various wavebands, sensor types, and datalogger brands are now in active testing at
14 many SpecNet sites. For example, by combining narrow-bandpass filters with silicon
15 photodiodes, Garrity et al. (2010) developed an inexpensive radiometer (“QuadPod”) for
16 sampling NDVI and PRI that compared well with measurements made by a field spectrometer.
17 Ryu et al. (2010) applied LEDs in inverse mode to create an inexpensive “NDVI meter” for
18 tracking phenology and carbon flux in a California savanna. Because these sensors vary in
19 design (e.g. spectral bands, radiometric response, and field-of-view), a remaining challenge lies
20 in inter-site comparison and data integration, particularly when different sensors and
21 measurement configurations are used. The lack of a single, readily affordable standard, along
22 with the multiple sampling configurations currently in use, are good examples of the difficulties
23 of building an effective network and help explain our emerging focus on metadata and
24 cyberinfrastructure (see below).
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6 To illustrate the utility of the simple sensors approach, we include an example from a prairie
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8 grassland (Lethbridge, Alberta, Flanagan et al. 2002). In this northern temperate grassland
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10 ecosystem, there is a strong correlation between seasonal changes in broad-band NDVI or APAR
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12 (measured with PAR sensors and pyranometers), and above-ground green biomass production
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14 and daily net ecosystem production (Figs. 5-7).
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26 [Insert Fig. 6 here]

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31 [Insert Fig. 7 here]

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36 Since many SpecNet questions (e.g. direct assessment of LUE , moisture status or cover type)
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38 require the use of the full spectral power of narrow-band reflectance, much recent progress has
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40 been made in the automation of hyperspectral field spectrometers. Most of these methods
41
42 involve the integration of two detectors, one looking up at the sky, and the other looking down
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44 at the target, to correct for changing illumination due to changing cloudiness, aerosol levels and
45
46 sun angle. For example, Gamon et al. (2006b) and Sims et al. (2006a) demonstrated the use of
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48 a dual-detector spectrometer (UniSpecDC, PP Systems, Amesbury MA) from an automated
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50 “tram system” consisting of a cart on a track. This system provides repeatable, spatially explicit
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52 reflectance transects, is an ideal sampling tool for low-statured ecosystems, and is readily
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3 adapted to flux tower footprints. Because it monitors irradiance while sampling surface
4 radiance, it is able to correct for changing sky conditions, minimizing a common source of error
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6 in field reflectance measurements.
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13 Leuning et al. (2006) demonstrated a simple way to automate a single-detector spectrometer
14 (UniSpec, PP Systems, Amesbury MA) from a fixed tower for multi-angle sampling (the “Multi-
15 Angle Spectrometer” or MAS). Hilker et al. (2007) further developed this method by using a
16 dual-detector spectrometer (Unispec DC), allowing real-time sky corrections. The spectrometer
17 is mounted on a motorized pan and tilt unit (“AMSPEC”). These examples of automation, now
18 being adopted at several SpecNet sites, expand the possibilities of field spectral sampling and
19 allow new experimental approaches (e.g. diurnal and multi-angle sampling) to be explored,
20 assisting in the retrieval of LUE.
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36 Angular effects

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41 The utility of multi-angle sampling for physiological retrievals are only beginning to be
42 appreciated. While multi-angle sampling, per se, has existed for many years, most of these
43 studies have focused on characterizing fundamental physical properties such as the
44 Bidirectional Reflectance Distribution Function (BRDF) using goniometers (Sandmeier and Itten
45 1999) or other angular sampling tools (e.g. PARABOLA, Deering and Leone 1986, Deering et al.
46 1999). The BRDF function of a surface records surface reflectance for all possible view and
47 illumination geometries (Nicodemus et al. 1977). While varying view and illumination angle can
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3 be a source of error, proper accounting for angular effects can also provide useful information
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5 on vegetation structure and physiology (Verrelst et al. 2008). The BRDF functions of different
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7 land cover types reveals information about three-dimensional vegetation structure and can be
8
9 very useful in distinguishing vegetation types by exploiting differences in observed reflectance
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11 based on changes in view or illumination angles (Roujean et al. 1992). This information is also
12
13 essential for radiative transfer models, providing combined empirical and theoretical
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15 approaches to understanding vegetation reflectance (Berk et al. 1999, Hall et al. 2008).
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22 Bidirectional studies investigating the integration canopy structural information with
23
24 physiological information are providing new insights into modeling biospheric carbon fluxes
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26 from remote sensing. Because vegetation structure readily confounds interpretation of
27
28 physiological signals (e.g. Barton and North 2001), careful characterization of stand structural
29
30 effects on reflectance spectra is a necessary step in extracting physiological information.
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32 Recent examples from SpecNet sites have clearly demonstrated how illumination angle (Sims et
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34 al. 2006a) or sensor view angle (Hilker et al. 2007) can interact with stand structure to confound
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36 the application of the LUE model. Through characterization of illumination and view angle
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38 effects, better physiological retrievals from optical remote sensing are now becoming possible
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40 (Sims et al. 2006, Drolet et al. 2005, Goerner et al. 2009, Hilker et al. 2009, Middleton et al.
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42 2009). A full understanding of these effects will require further experimental work at a range of
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44 spatial and temporal scales and across ecosystems with contrasting structure and physiological
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46 behaviour (Table 1).
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6 Building a "Virtual Community" through improved cyberinfrastructure
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10 The trends in field optical measurements described above, namely added spectral bands,
11 mobile sampling, sensor networks, multi-angle sampling, and increased automation and real-
12 time monitoring, all greatly increase the dimensionality of spectral datasets, requiring far
13 greater attention to informatics and cyberinfrastructure. In addition, the proliferation of sensor
14 designs and measurement configurations, along with the need to relate spectral data to
15 ancillary data (e.g. carbon or water vapour fluxes, species composition, moisture content,
16 weather, etc.) across multiple locations and timescales, requires that we build more intelligent
17 approaches for documenting, integrating and visualizing large, complex, and disparate datasets.
18 To this end, members of the SpecNet community have been involved in novel solutions to these
19 challenges, including spectral libraries and related analytical and visualization tools (Fig. 8).
20 Additionally, several specific tasks have been identified (Table 2). The larger goal is to allow
21 analysis of datasets at various granularities (from a single file to selected parts from across
22 several files), from different perspectives (a single large dataset may be useful in different ways
23 to different investigators), and in a flexible manner (allowing selection of subsets of interest in a
24 dataset), and should also be able to accommodate structural and content changes in the
25 dataset. Without proper attention to cyberinfrastructure, these goals simply cannot be realized
26 by existing conventional means (e.g. flat files shared on ftp servers).
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[Insert Table 2 here]

While a number of spectral libraries exist, few of these are available on-line in a manner easily shared with others. Furthermore, different metadata standards have been applied to these libraries. These metadata often lack adequate information or sufficient detail to enable use by scientists besides the original users. Recent SpecNet meetings have emphasized the need for web-based tools for using and sharing existing libraries and spectral formats, for incorporating and mapping between existing metadata sets and standards, and for generation of new, widely applicable standards. Also needed are flexible, intelligent tools for analyzing and visualizing spectral data, and for exploring the relationships between spectral data and ancillary data (e.g. species composition and carbon fluxes, Fig. 8, table 2).

To this end, members of the SpecNet community are working with the GeoChronos project (<http://geochronos.org/>). GeoChronos is an on-line platform leveraging cutting edge cyberinfrastructure technologies. These involve the Semantic Web (to link diverse and distributed data sets and to allow ontological mapping between different metadata standards), cloud computing (to provide on-demand processing, analysis, and visualization capabilities on line), and web portals leveraging Web 2.0 and social networking technologies (to facilitate collaborations involving sharing of data, methods, and applications). The ultimate goal is to facilitate a "virtual community" that can harness the power of web-based, open-source

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3 software solutions that can enable the SpecNet community to explore and share data and
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5 collaborate with one another in novel ways.
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8 9 10 11 Conclusions & Recommendations

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16 Is a universally applicable carbon flux model attainable for the terrestrial biosphere? To answer
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18 this question, exploring flux models driven by remote sensing continues to be a primary
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20 SpecNet focus. It is critical that we continue to develop the capacity for data integration and
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22 comparison as a foundation for these ongoing tests; without the ability to compare data and
23
24 modeling approaches, we will not know if we have found an optimal solution. Additionally, the
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26 LUE model should be compared to other remote sensing-driven modeling approaches (e.g.
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28 Rahman et al. 2005, Sims et al. 2006b, Ollinger et al 2008) under similar conditions.
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36 The primary SpecNet focus on carbon dioxide uptake needs to be expanded to include
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38 ecosystem respiration (Gamon et al. 2006a), water vapour (e.g. Claudio et al. 2006) and other
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40 biogenic trace gases (methane, nitrous oxide, etc.). It is possible that temporal and spatial
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42 patterns of high spectral resolution sensors, coupled with other remotely sensed data (e.g.
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44 surface temperature sensors) can yield useful information on trace gas fluxes. For example,
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46 surface moisture is readily detectable with optical sampling (Goswami et al. 2010), and
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48 moisture is a key variable affecting methane fluxes for many ecosystems (Merbold et al. 2009).
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53 Given the importance of methane in the global carbon budget and our current lack of
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3 understanding of individual ecosystem contributions to this methane budget (Solomon et al.
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6 2007), this should be a major focus of the optical sampling community.
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10 We urge the continued adoption of standardized and automated field sensors, sampling
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12 protocols, and metadata, whenever possible. Without the ability to provide funding and
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14 enforce standards, this has been a challenging task for the SpecNet community. However, a
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16 good starting point has been to employ the website (<http://specnet.info>) as a means of
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18 documenting methods and disseminating information that can help lead us to a voluntary set of
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20 standards. Particularly if standard sensors or protocols cannot be adopted, explicit and
21
22 accessible metadata become critical. While much attention has been paid to the sampling
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24 methods used, a more important issue may actually be our approach as a community to a
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26 common cyberinfrastructure for sharing data and metadata in a transparent and meaningful
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28 way. We invite the community to participate in these ongoing activities, both within SpecNet
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30 and GeoChronos.
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41 A solid understanding of the links between optical and flux measurements across a wide range
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43 of conditions is essential if we are to develop robust global models from remote sensing, and is
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45 also critical for satellite validation. Consequently, we emphasize the importance of expanding
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47 the network itself so that a greater range of sites representing a wider range of “climate space”
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49 (Running et al. 1999) are covered by combined optical and flux measurements. This necessarily
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51 requires a broader interaction between the flux and remote sensing communities. FLUXNET
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53 has a longer history and a greater distribution of sampling sites, yet not all FLUXNET sites take
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3 advantage of optical sampling for characterizing site phenology and biosphere-atmosphere
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5 fluxes. We invite new members, including members of the flux community who have not yet
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7 participated in SpecNet or utilized optical sampling, to explore these opportunities.
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10 11 12 13 14 15 16 Acknowledgements 17

18
19
20 The authors wish to thank the National Center for Ecological Analysis and Synthesis (NCEAS) for
21
22 sponsoring the original SpecNet workshops (in Santa Barbara, California); the Alberta
23
24 Informatics Circle of Research Excellence (iCORE), the Centre for Earth Observation Sciences
25
26 (CEOS), the Circumpolar Institute, and the University of Alberta for sponsoring the 2007
27
28 workshop (Edmonton, Alberta); Centro di Ecologia Alpina, Fondazione E. Mach and Skye
29
30 Instruments for sponsoring the 2008 (Monte Bondone, Italy) workshop; and the Canadian
31
32 Remote Sensing Society and organizers of the 30th Canadian Symposium on Remote Sensing for
33
34 sponsoring the 2009 SpecNet workshop (Lethbridge, Alberta). We also thank the GeoChronos
35
36 team at the Grid Research Centre (University of Calgary) and at CEOS (University of Alberta) for
37
38 support of informatics and cyberinfrastructure. GeoChronos is funded by CANARIE, Canada's
39
40 advanced research and innovation network, as part of their Network Enabled Platform
41
42 program, and Cybera, a non-profit organization aimed at promoting and facilitating the use of
43
44 cyberinfrastructure in Alberta, Canada. Support for Fig. 2 was provided by U.S. National Science
45
46 Foundation, WestGEC (US DOE), and Desert Research Institute (Reno, Nevada) to JG. Support
47
48 for Figs. 5-7 were provided by grants to LBF from the Natural Sciences and Engineering
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3 Research Council of Canada. Additional thanks go to the members of the Specnet community
4
5 who have participated in the topics discussed here and have contributed data to the spectral
6
7 library. Three anonymous reviewers provided constructive suggestions on improving the
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9 manuscript.
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6 Figure 1 – Global distribution of SpecNet sites (red triangles). A more detailed list of sites and
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8 associated characteristics can be found at <http://specnet.info>.
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13 of vegetation types. Each symbol represents a mean of 3-5 measurements from a single
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17 California and Nevada.
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21 Figure 3 – GEP vs. APAR for different functional vegetation types common in coastal tundra,
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23 Barrow, Alaska: “wet” microsite (dominated by sedge species), “dry” microsite (dominated
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27 LUE is represented by the slopes. Adapted from Huemmrich et al. (2010).
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3 Figure 6 – Green biomass vs. broad-band NDVI, showing seasonal hysteresis (points) and overall
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8 Figure 7 - Net ecosystem production (NEP, moles C, $m^{-2} d^{-1}$) versus absorbed PAR (APAR, the
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15 Figure 8 - The SpecNet informatics scheme, including tools for data generation and input (left
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17 box), spectral libraries (middle box) and links to ancillary data (right box). To facilitate data
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Table 1. Summary of key SpecNet science & technical goals, with supporting references.

SpecNet Science goals	References
Evaluate the light-use efficiency model and its component terms across ecosystems of contrasting species composition and stand structure.	Hilker et al. 2008b, 2009, Cheng et al 2009, Middleton et al. 2009,
Understand controls on dynamic surface-atmosphere fluxes and understand stress effects.	Sims et al. 2006a, Claudio et al. 2006, Fuentes et al. 2006
Evaluate the impact of contrasting species & functional types on surface-atmosphere fluxes	Street et al. 2007, Huemmrich et al. 2009
SpecNet Technical goals	References
Develop scaling methodology (e.g. spatial and temporal interpolation, spectral convolution) for matching optical to flux measurements	Cheng et al. 2006, Sims et al. 2006, Chen et al. 2009
Determine optimal spectral bands, indices or algorithms for modeling carbon flux from remote sensing	Gamon et al. 1992, Drolet et al. 2005, Goerner et al. 2009
Evaluate sun angle, view angle, and sunlit fraction effects on physiological retrievals	Sims et al. 2006, Hall et al. 2008a, Hilker et al. 2008a&b, Cheng et al. 2009, Goerner et al. 2009, Middleton et al. 2009,
Automate field optical sampling, including mobile, tilting, and networked sensors.	Estrin et al. 2003, Gamon et al. 2006b, Leuning et al. 2006, Hilker et al. 2007
Deploy & test two-band radiometers (e.g. "phenology stations")	Huemmrich et al. 1999, Garrity et al. 2010, Ryu et al, 2010
Develop cyberinfrastructure for linking optical to flux sampling, and facilitating cross-site and multi-scale analyses.	Estrin et al. 2003, Elmagarmid et al. 2008

Table 2 - SpecNet tasks related to larger informatics & cyberinfrastructural goals.

Standardize and automate optical sampling (where possible) to facilitate comparison with dynamic surface-atmosphere fluxes and to enable cross-ecosystems comparison of the LUE model.
Automate processing tools (where possible) to track data history (“provenance”) and facilitate generation of metadata to improve data transparency.
Develop standard analytical software routines for temporal interpolation, spatial interpolation and comparison (footprint matching) and spectral convolution to facilitate comparison between instruments and methods (e.g. flux data, optical monitoring, and imaging spectrometry).
Disseminate laboratory and field calibration protocols to assist in standardization and automation optical sensors.
Standardize field metadata (where possible) and simplify ingestion of field metadata into web-accessible databases.
Develop web portals for initial viewing (e.g. data quicklooks), simple analyses, and rapid dissemination of spectral, flux, and image data.

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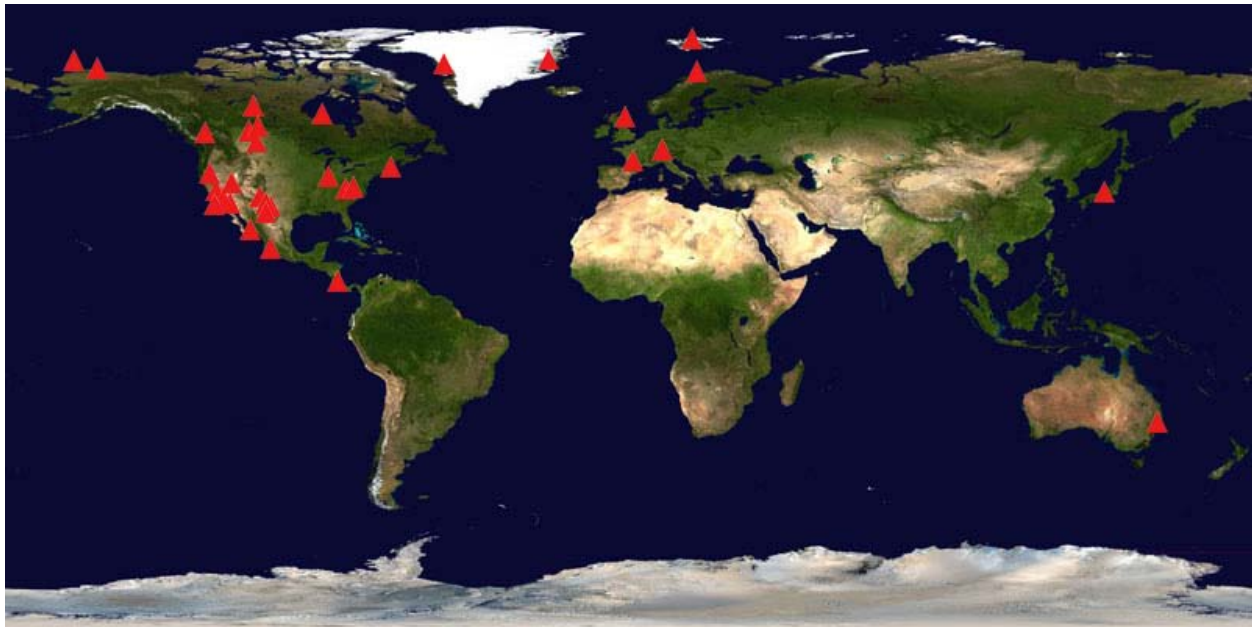


Figure 1 – Global distribution of SpecNet sites (red triangles). A more detailed list of sites and associated characteristics can be found at <http://specnet.info>.

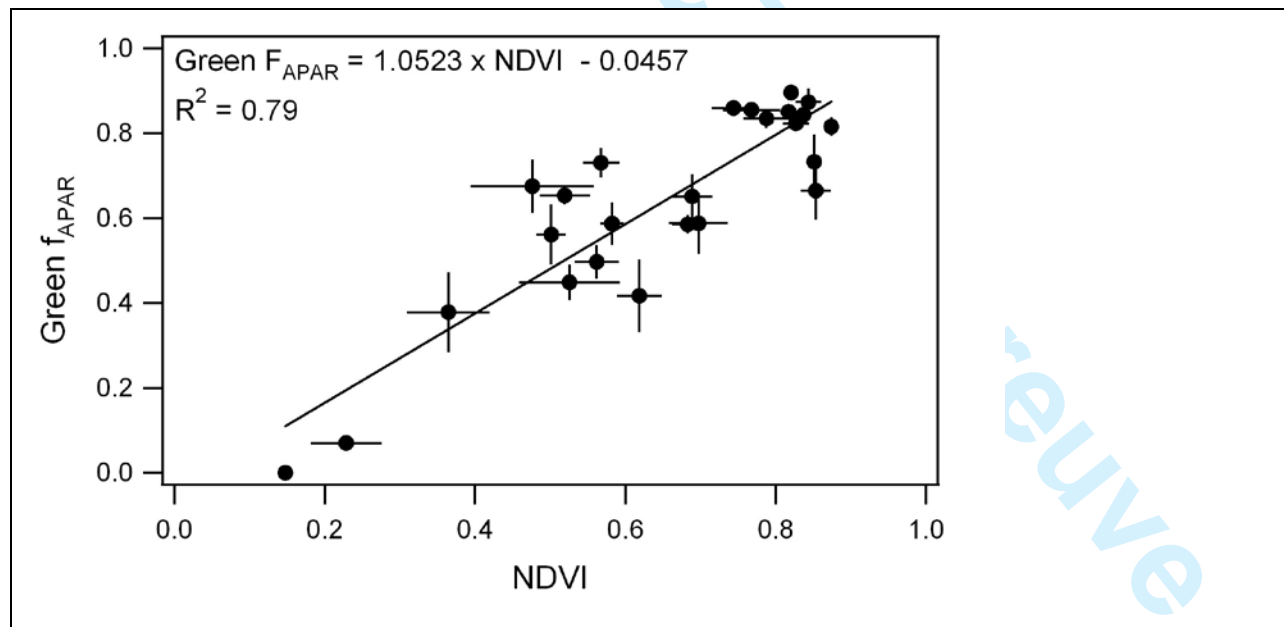


Figure 2 - Comparison of green F_{APAR} to NDVI (from whole-canopy measurements) for a variety of vegetation types. Each symbol represents a mean of 3-5 measurements from a single species, and error bars indicate one SEM. Data were collected from SpecNet sites within California and Nevada.

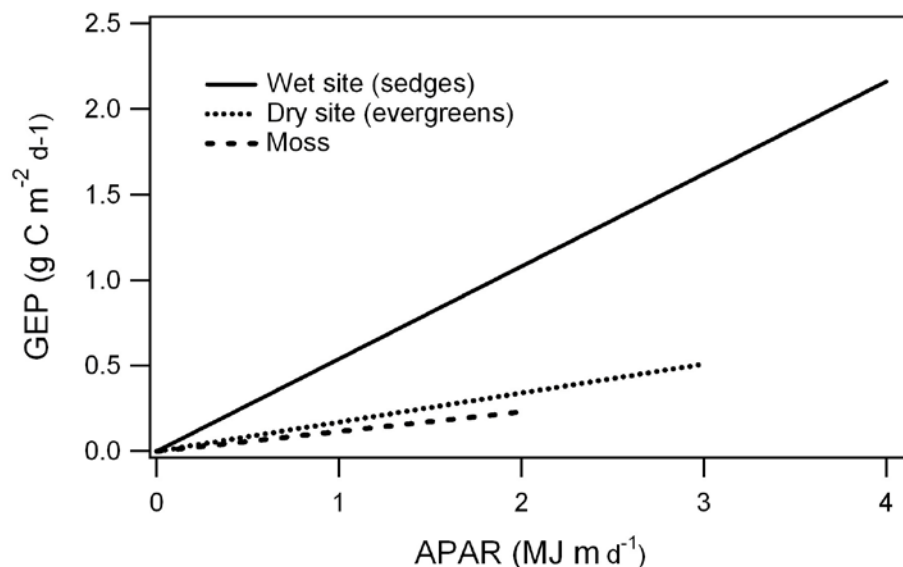


Figure 3 – GEP vs. APAR for different functional vegetation types common in coastal tundra, Barrow, Alaska: “wet” microsite (dominated by sedge species), “dry” microsite (dominated by evergreens) and moss (a bryophyte lacking well-developed vascular tissues). In this plot, LUE is represented by the slopes. Adapted from Huemmrich et al. (2010).

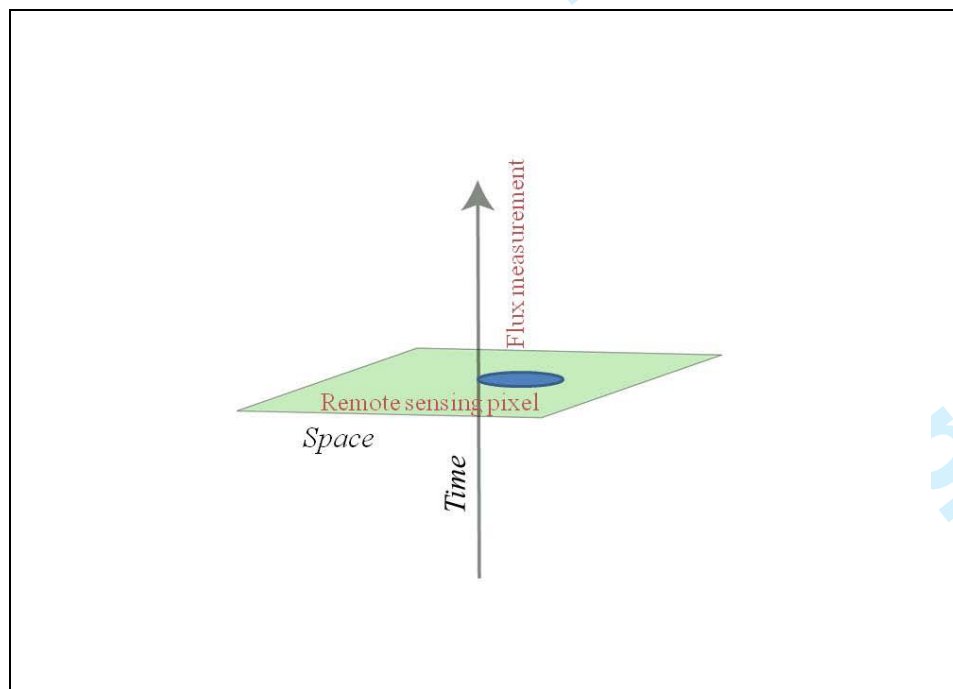


Figure 4 - The challenge of linking samples in space from remotely sensed images (parallelogram) and flux measurements in time (arrow). By providing continuous measurements at the scale of the predominant flux tower footprint (oval), SpecNet sites provide scale-appropriate tools for linking remote sensing to flux measurements.

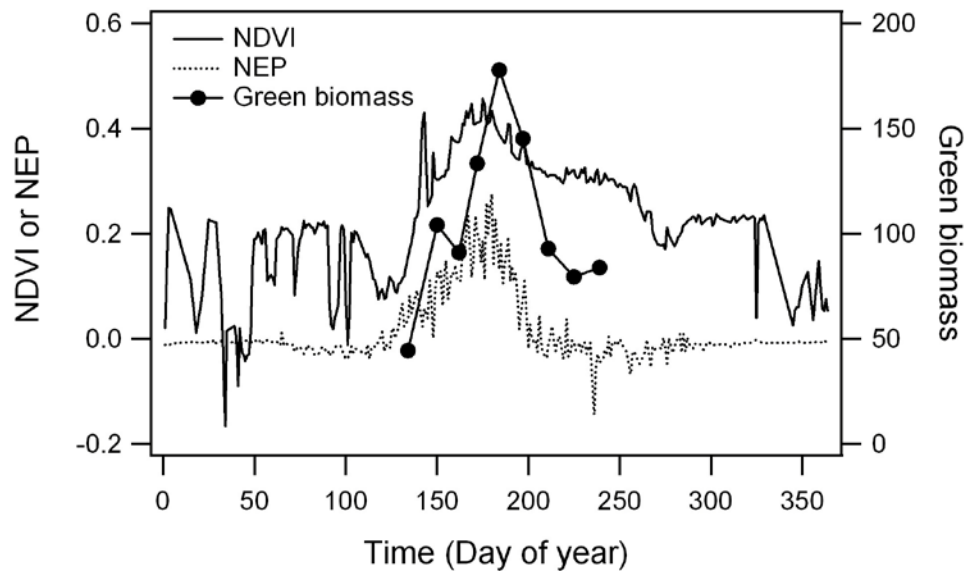


Figure 5 – Broadband NDVI, net ecosystem production (NEP, moles C m⁻² d⁻¹), and green biomass (g dry weight m⁻²) for a grassland ecosystem (Lethbridge, Alberta) in 2007. NEP was measured by eddy covariance, broadband NDVI using upward- and downward-looking PAR sensors (LI-190SA, LI-COR, Lincoln, Nebraska, USA) and pyranometer sensors (CM3, Kipp & Zonen, Delft, The Netherlands), and biomass through harvesting of above-ground standing green biomass. Negative winter-time NDVI values indicate periods of snow cover, and are typically discarded in later analyses (figures 6-7).

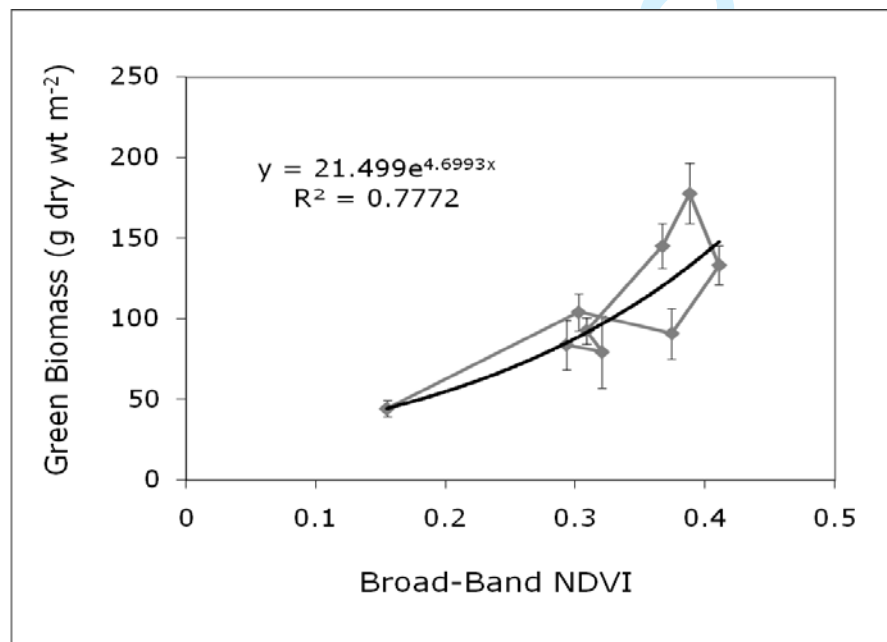


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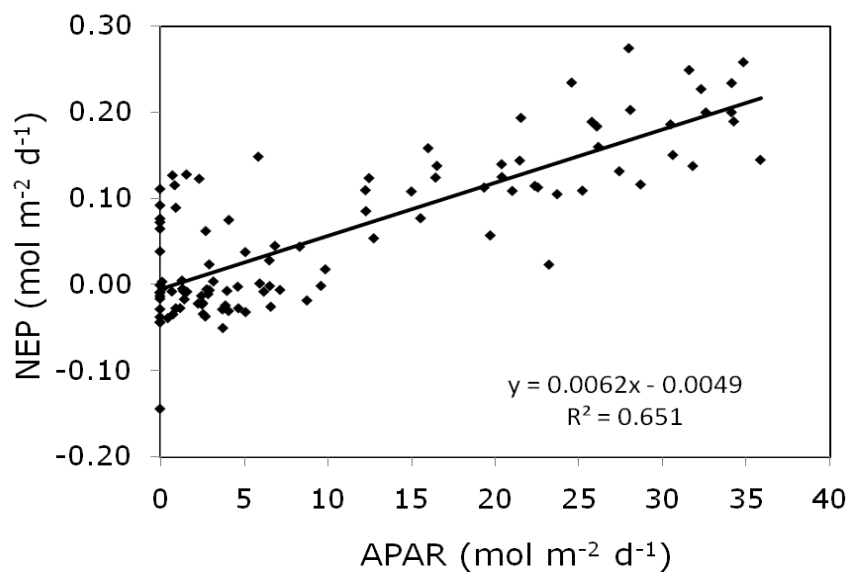


Figure 7 - Net ecosystem production (NEP, moles C, m⁻² d⁻¹) versus absorbed PAR (APAR, the product of PAR and fPAR, determined from broadband NDVI - see equation 2) for the prairie grassland in figure 5.

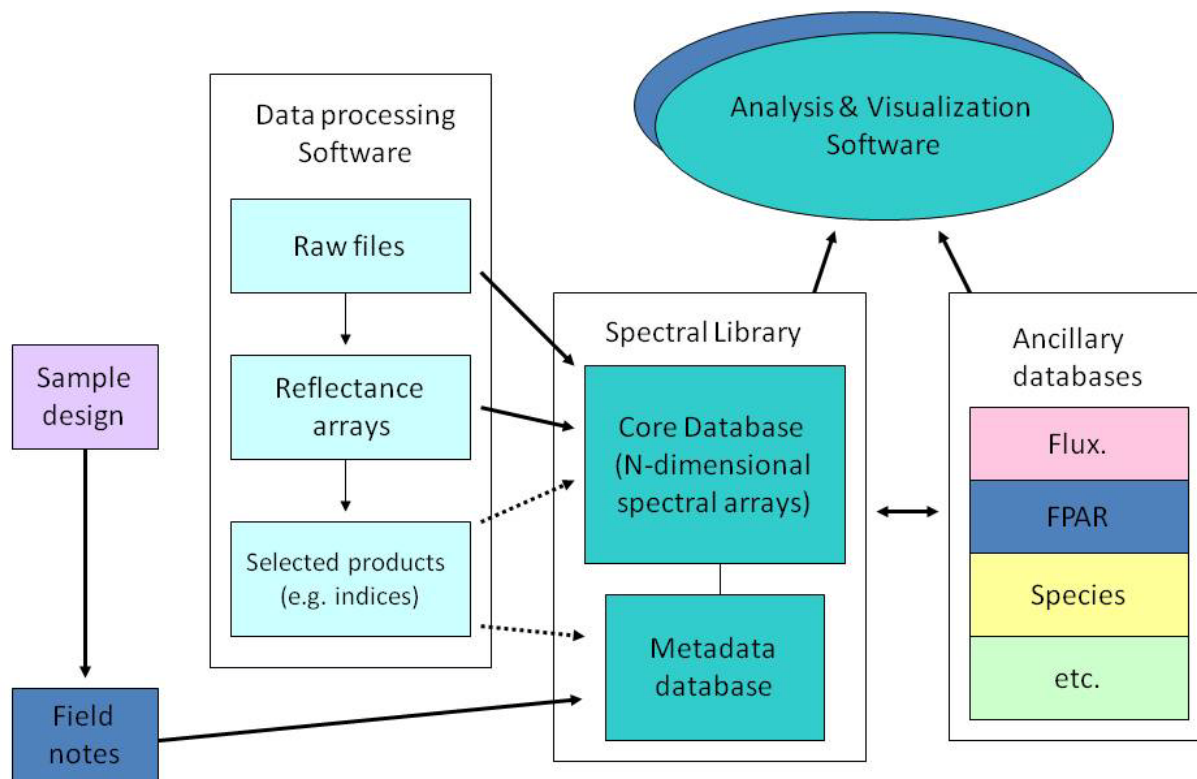


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